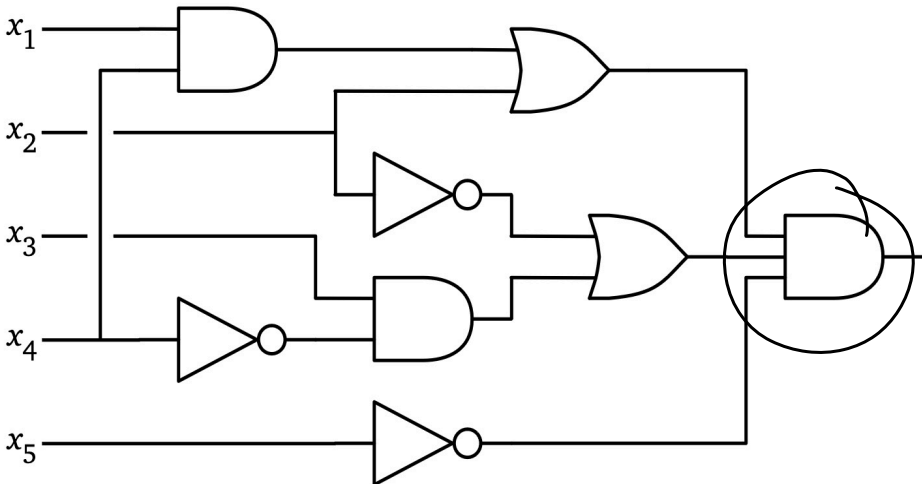


Does  $x_1 = T$   
 $x_2 = T$   
 $x_3 = T$  evaluate to  $T$ ?



CIRCUIT SAT: Given a boolean circuit  $B$   
Can we turn on the light?

Satisfiable

We can verify a proposed yes answer in polynomial time.

$\Leftarrow \Rightarrow$

the problem can be solved in nondeterministic polynomial time

A problem is in NP if it can be verified in poly time.

Which are in NP? Why?

- ~~EVEN~~: given an integer  $n > 0$ , is it even?

- ~~PRIME~~: given an integer  $n > 0$ , is it prime?

- ~~K-VERTEX COVER~~: given a graph  $G$  and an integer  $k$ , does  $G$  have a vertex cover of size  $k$ ?

- ~~SMALLEST VC~~: given  $G = (V, E)$  and  $C \subseteq V$ , is  $C$  a vertex cover of smallest size for  $G$ ?

NP - verify in poly time

P - solve in poly time

$X \in P \Rightarrow X \in NP$  yes

$X \in NP \Rightarrow X \in P$

$P = NP$  or  $P \neq NP$ ?

Cook-Levin: <sup>SAT</sup> Circuit SAT  $\in P \Rightarrow P = NP$

X is NP-hard: there is a polynomial time reduction from SAT to X

X is NP-hard: there is a polytime reduction from some known NP-hard problem to X.

3SAT: Given a boolean formula in 3CNF, is it satisfiable?

$$\left( (x_1 \wedge x_2) \vee x_3 \vee \bar{x}_3 \right)$$

$$(y_1 \vee \bar{x}_1 \vee \bar{x}_4) \wedge (\bar{y}_1 \vee x_1 \vee z_1) \wedge (\bar{y}_1 \vee x_1 \vee \bar{z}_1) \wedge (\bar{y}_1 \vee x_4 \vee z_2) \wedge (\bar{y}_1 \vee x_4 \vee \bar{z}_2) \\ \wedge (y_2 \vee x_4 \vee z_3) \wedge (y_2 \vee x_4 \vee \bar{z}_3) \wedge (\bar{y}_2 \vee \bar{x}_4 \vee z_4) \wedge (\bar{y}_2 \vee \bar{x}_4 \vee \bar{z}_4)$$

$$\wedge (y_3 \vee \bar{x}_3 \vee \bar{y}_2) \wedge (\bar{y}_3 \vee x_3 \vee z_5) \wedge (\bar{y}_3 \vee x_3 \vee \bar{z}_5) \wedge (\bar{y}_3 \vee y_2 \vee z_6) \wedge (\bar{y}_3 \vee y_2 \vee \bar{z}_6)$$

$$\wedge (\bar{y}_4 \vee y_1 \vee x_2) \wedge (y_4 \vee \bar{x}_2 \vee z_7) \wedge (y_4 \vee \bar{x}_2 \vee \bar{z}_7) \wedge (y_4 \vee \bar{y}_1 \vee z_8) \wedge (y_4 \vee \bar{y}_1 \vee \bar{z}_8)$$

$$\wedge (y_5 \vee x_2 \vee z_9) \wedge (y_5 \vee x_2 \vee \bar{z}_9) \wedge (\bar{y}_5 \vee \bar{x}_2 \vee z_{10}) \wedge (\bar{y}_5 \vee \bar{x}_2 \vee \bar{z}_{10})$$

$$\wedge (y_6 \vee x_5 \vee z_{11}) \wedge (y_6 \vee x_5 \vee \bar{z}_{11}) \wedge (\bar{y}_6 \vee \bar{x}_5 \vee z_{12}) \wedge (\bar{y}_6 \vee \bar{x}_5 \vee \bar{z}_{12})$$

$$\wedge (\bar{y}_7 \vee y_3 \vee y_5) \wedge (y_7 \vee \bar{y}_3 \vee z_{13}) \wedge (y_7 \vee \bar{y}_3 \vee \bar{z}_{13}) \wedge (y_7 \vee \bar{y}_5 \vee z_{14}) \wedge (y_7 \vee \bar{y}_5 \vee \bar{z}_{14})$$

$$\wedge (y_8 \vee \bar{y}_4 \vee \bar{y}_7) \wedge (\bar{y}_8 \vee y_4 \vee z_{15}) \wedge (\bar{y}_8 \vee y_4 \vee \bar{z}_{15}) \wedge (\bar{y}_8 \vee y_7 \vee z_{16}) \wedge (\bar{y}_8 \vee y_7 \vee \bar{z}_{16})$$

$$\wedge (y_9 \vee \bar{y}_8 \vee \bar{y}_6) \wedge (\bar{y}_9 \vee y_8 \vee z_{17}) \wedge (\bar{y}_9 \vee y_8 \vee \bar{z}_{17}) \wedge (\bar{y}_9 \vee y_6 \vee z_{18}) \wedge (\bar{y}_9 \vee y_6 \vee \bar{z}_{18}) \wedge (\bar{y}_9 \vee y_8 \vee \bar{z}_{17})$$

$$\wedge (y_9 \vee z_{19} \vee z_{20}) \wedge (y_9 \vee \bar{z}_{19} \vee z_{20}) \wedge (y_9 \vee z_{19} \vee \bar{z}_{20}) \wedge (y_9 \vee \bar{z}_{19} \vee \bar{z}_{20})$$

↑  
and

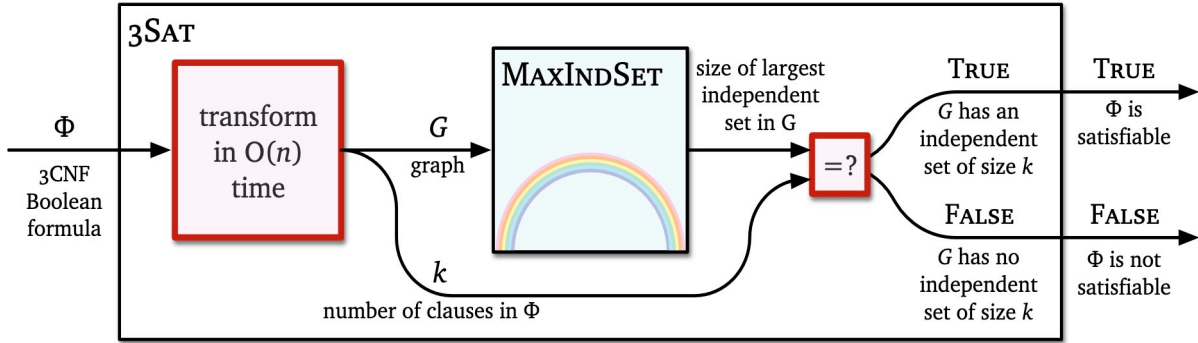
clause

ex:  $(a \vee b \vee \bar{c}) \wedge (b \vee \bar{d} \vee e) \wedge (\bar{b} \vee \bar{c} \vee \bar{e})$   
is it satisfiable?  $a, b, \bar{c}$

SAT reduces to 3SAT in polytime  
 $\Rightarrow$  3SAT is NP-hard

Claim: Max Independent Set is NP hard.

Proof: we give a polytime reduction from 3SAT to MaxIndSet.



3SAT ( $\Phi$ ):

Transform  $\Phi$  to  $G$

$k = \#$  of clauses in  $\Phi$

$n = \text{MaxIndSet}(G)$

if  $n = k$ :

return T

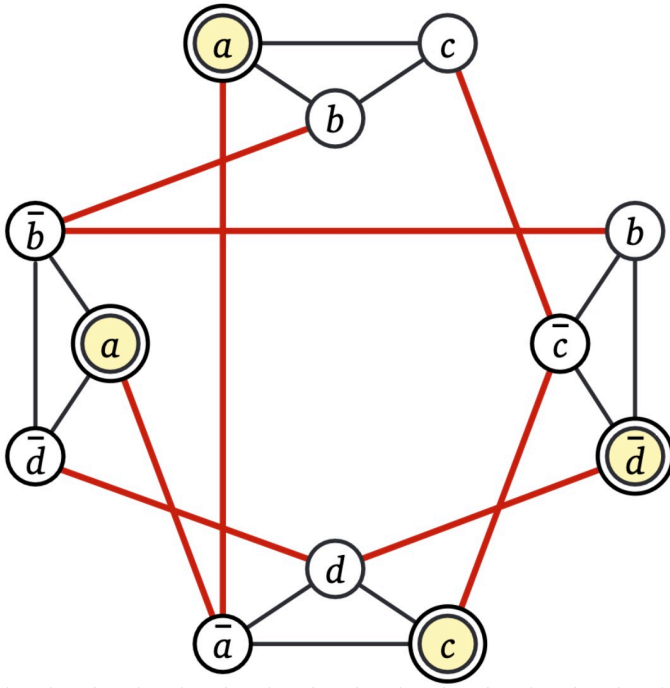
else

return F

Given

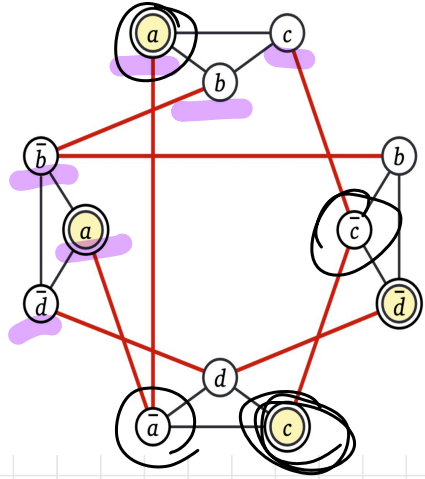
$$(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{d}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{d})$$

transform to  $G$



Transform ( $\Phi$ ):  $(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{d}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{d})$

- make  $\Gamma$  triangle per clause
- connect inverses



Claim:

$\Phi$  is satisfiable  
 $\Leftrightarrow$

$G$  has an indep. set of size  $k$

Proof:

$\Rightarrow$

$\Phi$  is satisfiable.

Fix a satisfying assn.

Each clause has a  $\top$  literal.

Select one from each and add to a set  $S$ .

$S$  is an IS.

