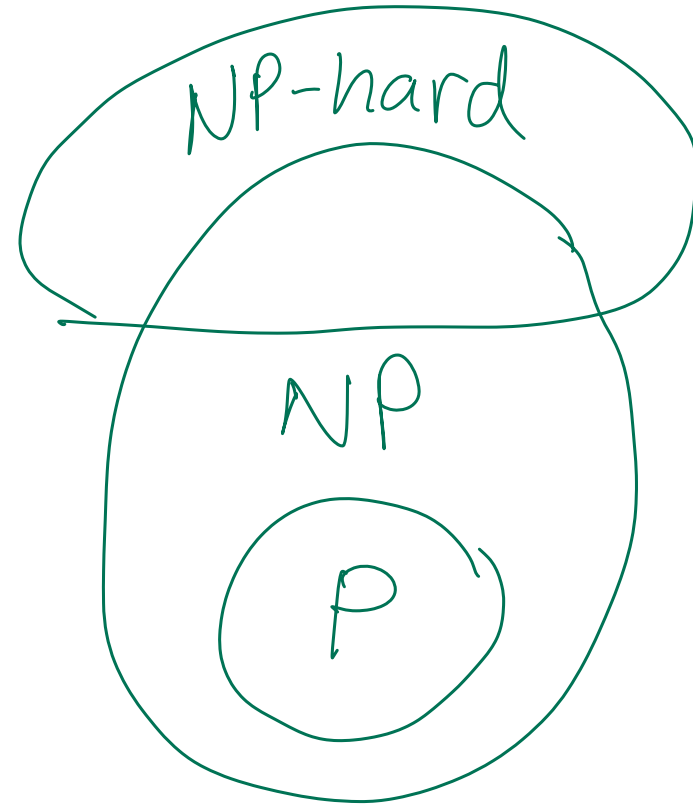
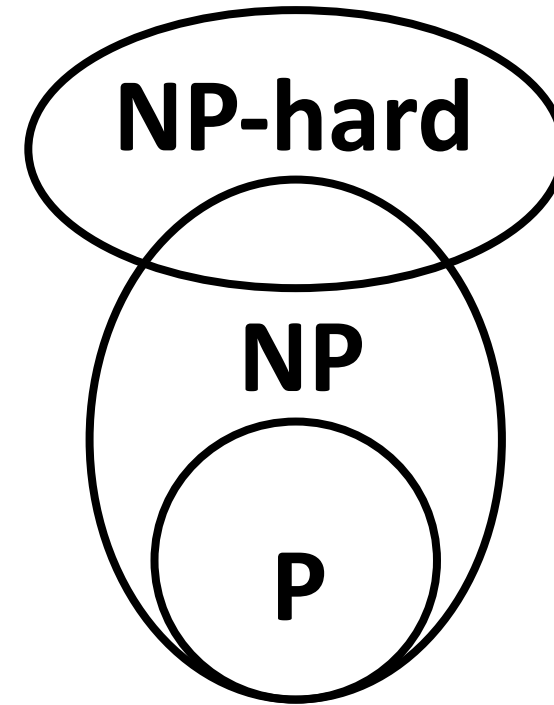


# Handling NP-Hardness



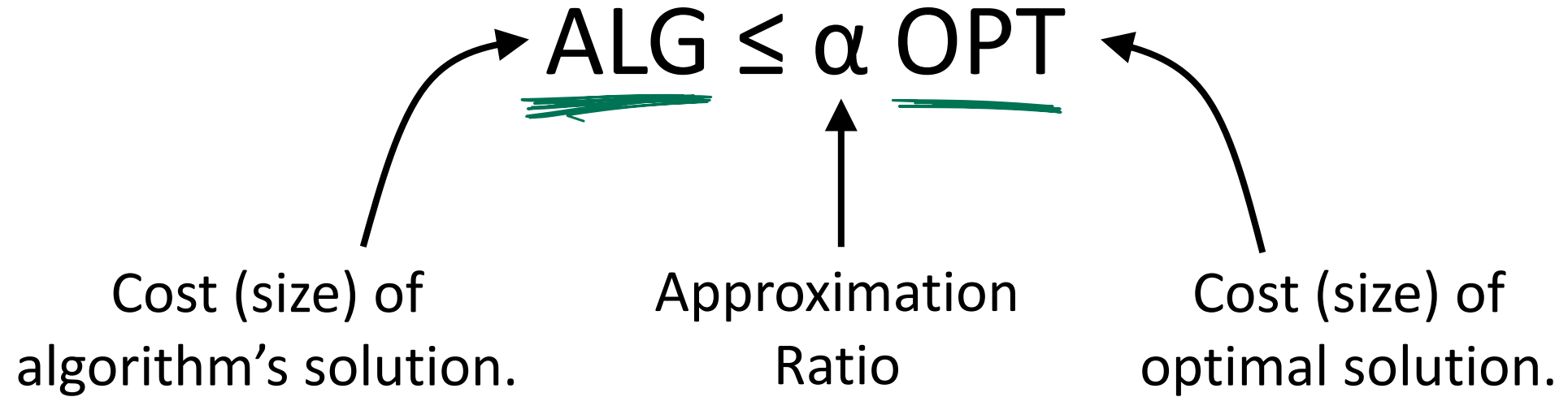
# Handling NP-Hardness



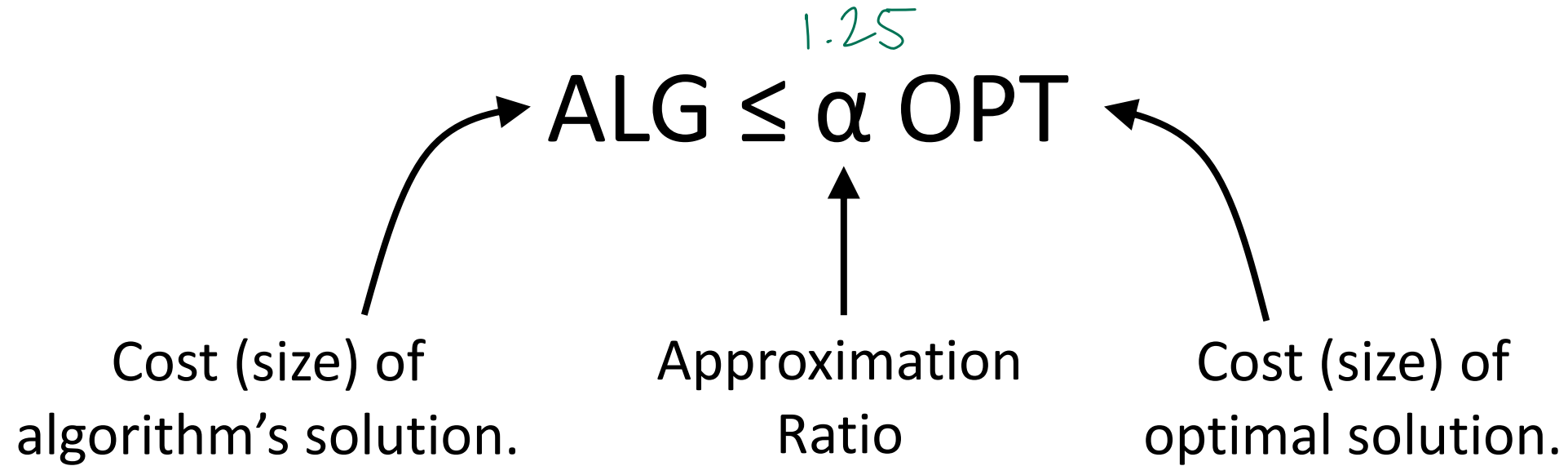
Your problem is NP-hard. What to do?

1. Brute Force — *exponential runtime*
2. Heuristics — *no guarantee on optimality*
3. Approximation Algorithms

# Approximation Algorithms

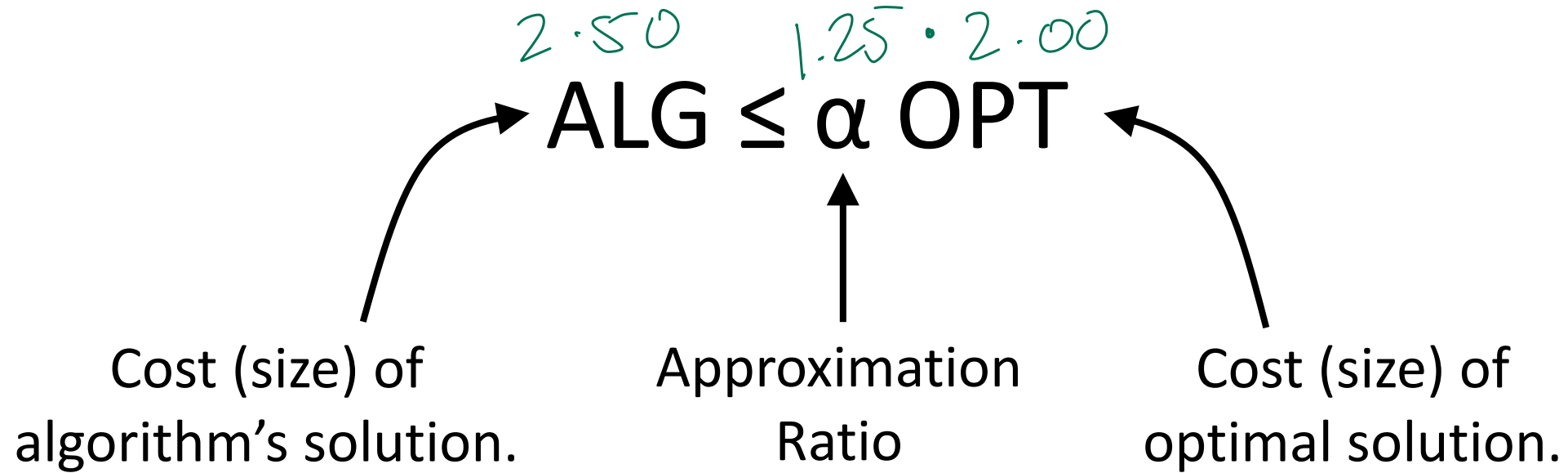


# Approximation Algorithms



Example: If my CheapestToiletPaperInMissoula algorithm is a 1.25-approximation algorithm, the cost of the toilet paper it finds is at most 1.25 times the optimal cost.

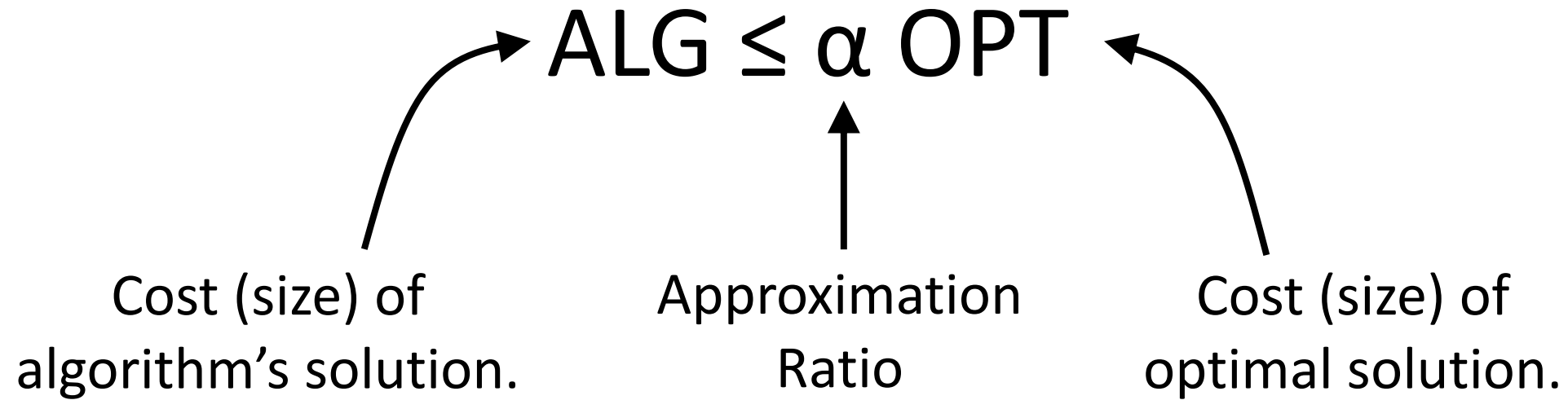
# Approximation Algorithms



Example: If my CheapestToiletPaperInMissoula algorithm is a 1.25-approximation algorithm, the cost of the toilet paper it finds is at most 1.25 times the optimal cost.

I.e. If cheapest toilet paper in Missoula is \$2.00/roll, CheapestToiletPaperInMissoula will find toilet paper that is at most \$ /roll.

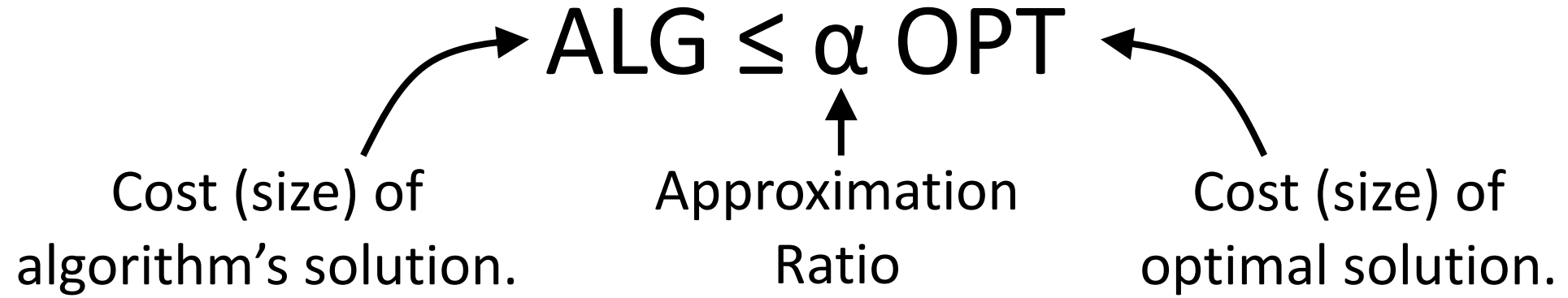
# Approximation Algorithms



Example: If my CheapestToiletPaperInMissoula algorithm is a 1.25-approximation algorithm, the cost of the toilet paper it finds is at most 1.25 times the optimal cost.

I.e. If cheapest toilet paper in Missoula is \$2.00/roll, CheapestToiletPaperInMissoula will find toilet paper that is at most \$2.50/roll.

# Approximation Algorithms



Example:

# Approximation Algorithms

$$\text{Cost (size) of algorithm's solution.} \rightarrow \text{ALG} \leq \alpha \text{ OPT} \leftarrow \text{Cost (size) of optimal solution.}$$

↑  
Approximation  
Ratio

Example:

- Suppose I know my algorithm is a 1.12-approximation algorithm.



# Approximation Algorithms

The diagram shows the inequality  $ALG \leq \alpha OPT$  in the center. An arrow points from the text "Cost (size) of algorithm's solution." to the "ALG" term. Another arrow points from the text "Cost (size) of optimal solution." to the "OPT" term. A vertical arrow points from the text "Approximation Ratio" to the " $\alpha$ " symbol.

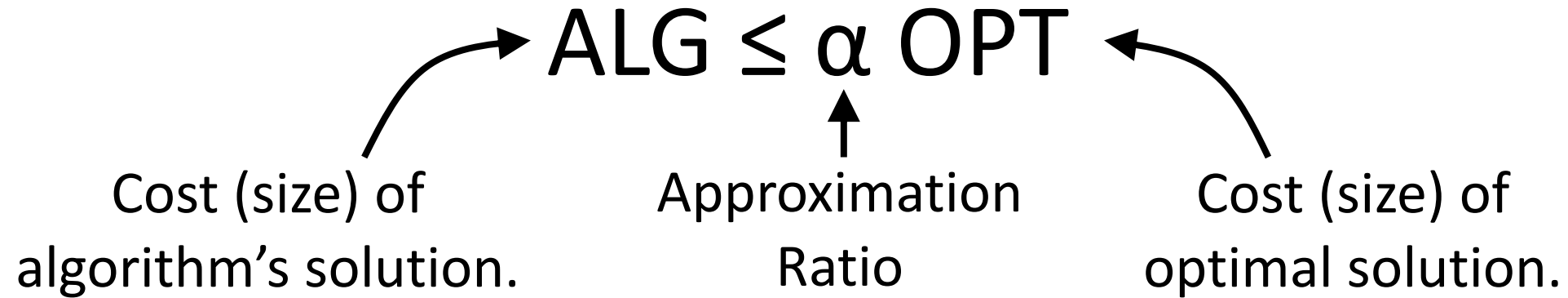
$$ALG \leq \alpha OPT$$

Cost (size) of algorithm's solution.      Approximation Ratio      Cost (size) of optimal solution.

Example:

- Suppose I know my algorithm is a 1.12-approximation algorithm.
- Suppose my algorithm returns a solution of cost (size) 746.125.

# Approximation Algorithms



Example:

- Suppose I know my algorithm is a 1.12-approximation algorithm.
- Suppose my algorithm returns a solution of cost (size) 746.125.

## What do I know about OPT?

# Approximation Algorithms

Cost (size) of algorithm's solution.       $ALG \leq \alpha OPT$       Cost (size) of optimal solution.

Approximation Ratio

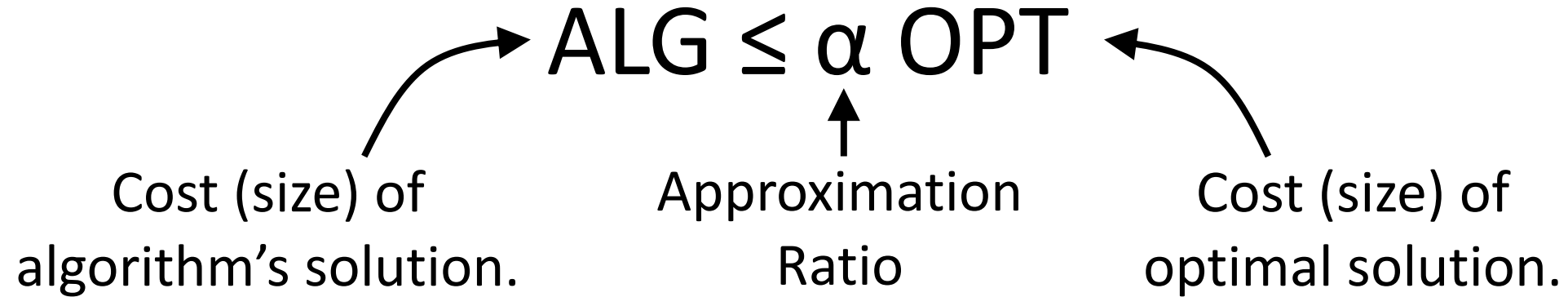
The diagram illustrates the inequality  $ALG \leq \alpha OPT$ . An arrow points from the text "Cost (size) of algorithm's solution." to the term "ALG". Another arrow points from the text "Cost (size) of optimal solution." to the term "OPT". A third arrow points from the text "Approximation Ratio" to the Greek letter  $\alpha$ .

Example:

- Suppose I know my algorithm is a 1.12-approximation algorithm.
- Suppose my algorithm returns a solution of cost (size) 746.125.

Then, I know that  $746.125 \leq 1.12 OPT$

# Approximation Algorithms



Example:

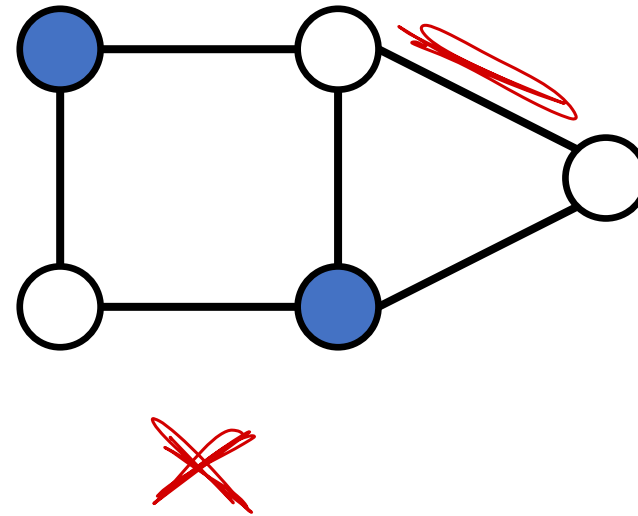
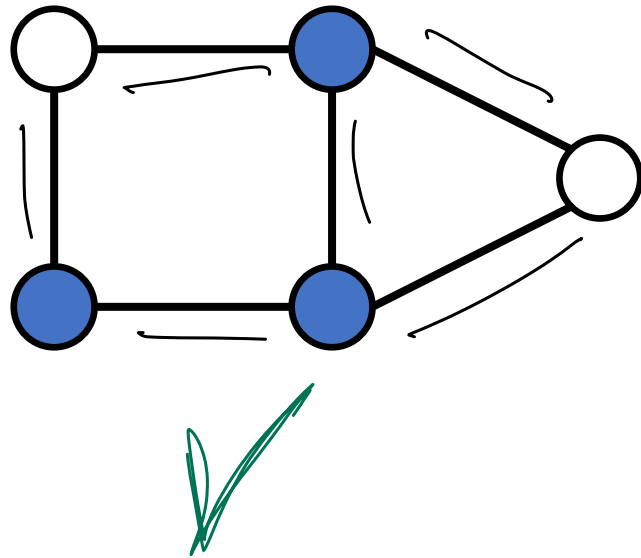
- Suppose I know my algorithm is a 1.12-approximation algorithm.
- Suppose my algorithm returns a solution of cost (size) 746.125.

Then, I know that  $746.125 \leq 1.12 OPT$

$$\Rightarrow \frac{746.125}{1.12} = \underline{\underline{666.183}} \leq OPT \leq 746.125$$

# Vertex Cover – Problem

Vertex Cover: Given graph, find the smallest subset of vertices such that every edge in the graph has at least one vertex in the subset.



# Vertex Cover

Vertex Cover: Given graph  $G = (V, E)$ , find smallest  $V' \subseteq V$  such that each edge in  $E$  contains an end point in  $V'$ .

# Vertex Cover

VC algorithm:

???

Vertex Cover: Given graph  $G = (V, E)$ , find smallest  $V' \subseteq V$  such that each edge in  $E$  contains an end point in  $V'$ .

# Vertex Cover

Vertex Cover: Given graph  $G = (V, E)$ , find smallest  $V' \subseteq V$  such that each edge in  $E$  contains an end point in  $V'$ .

VC 2-approximation algorithm:

while uncovered edge exists

    select both vertices from uncovered edge

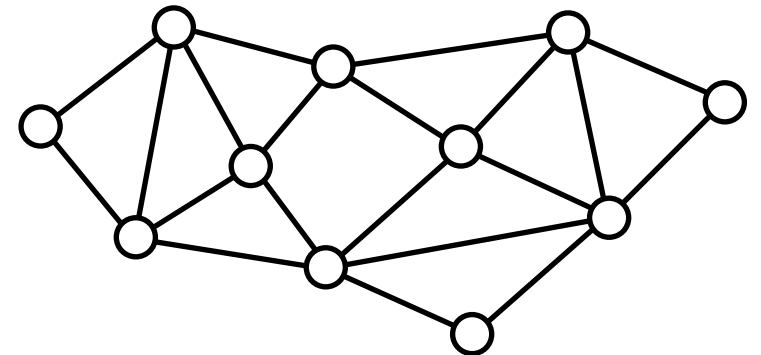


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VC 2-approximation algorithm:  
while uncovered edge exists  
  select both vertices from uncovered edge

An edge is uncovered if it does not share vertices with any previously selected edges.

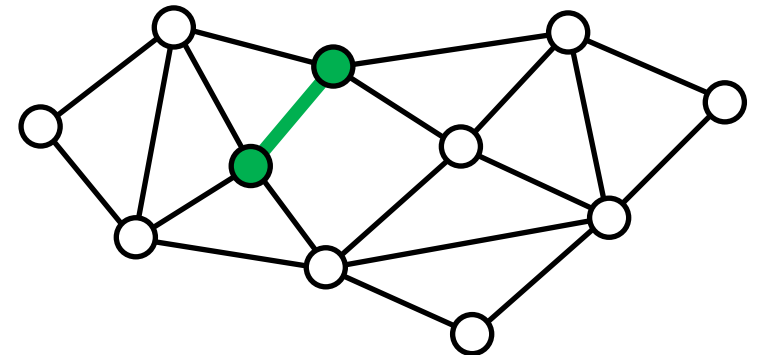


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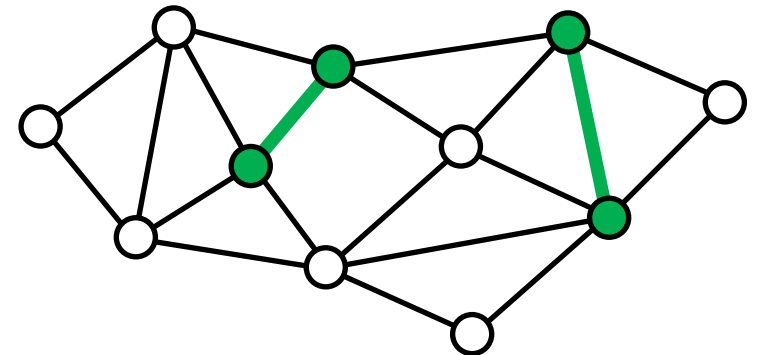


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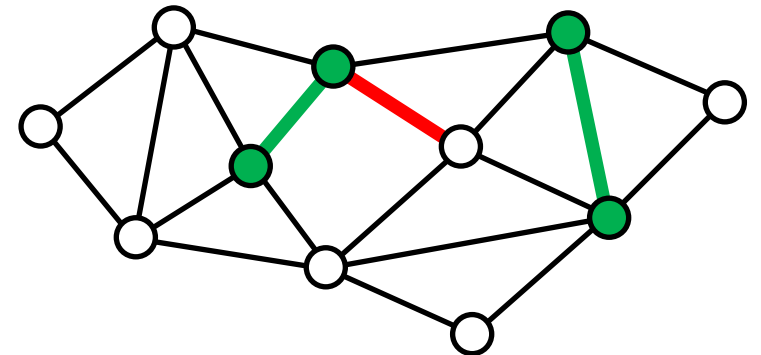


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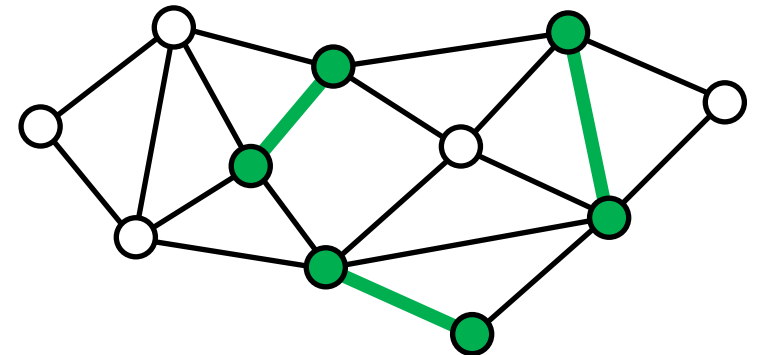


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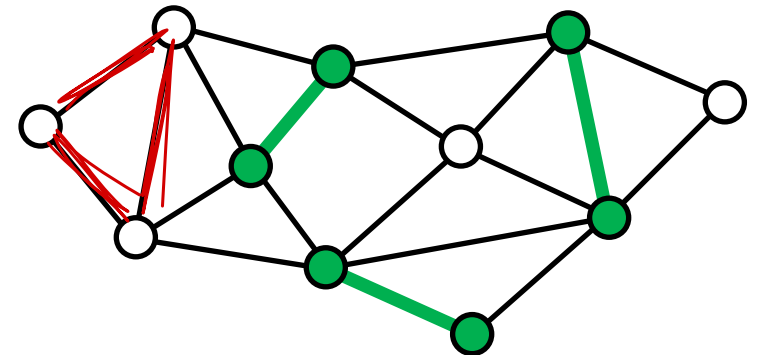
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Which edge gets selected next?

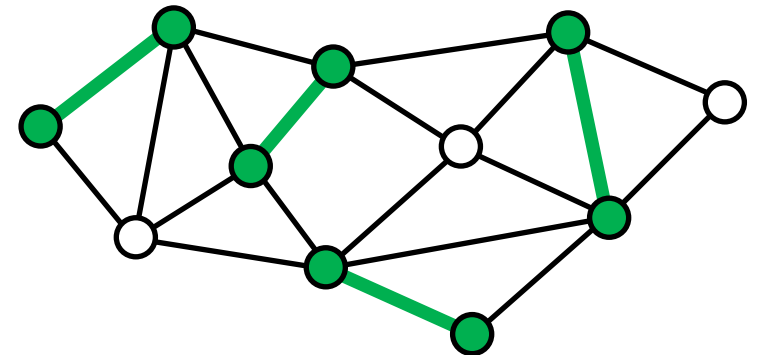


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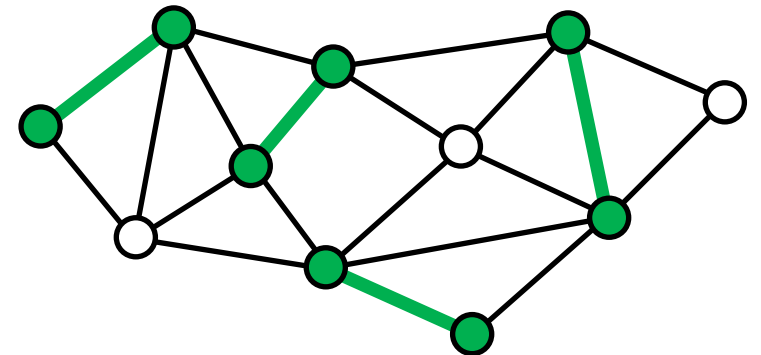


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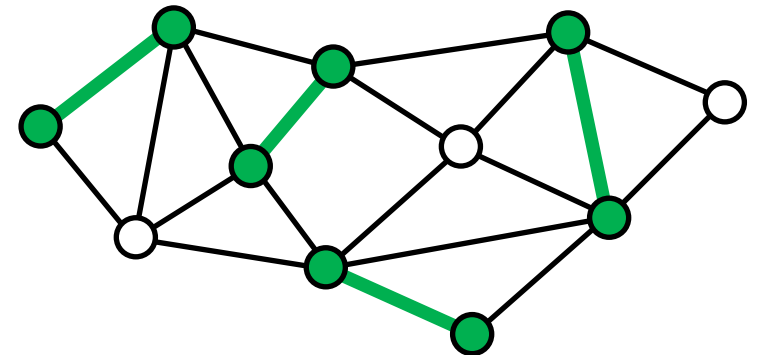
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$\Rightarrow$  # vertices selected by algorithm = ALG = ??



# Vertex Cover

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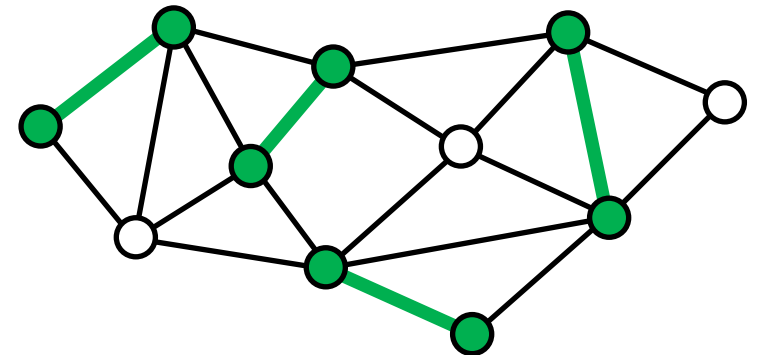
VC 2-approximation algorithm:  
while uncovered edge exists  
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$$ALG \leq 2 OPT$$

An edge is uncovered if it does not share vertices with any previously selected edges. Let  $E'$  be the edges selected by the algorithm.

$\Rightarrow$  # vertices selected by algorithm = ALG = ??

## Discuss with a partner



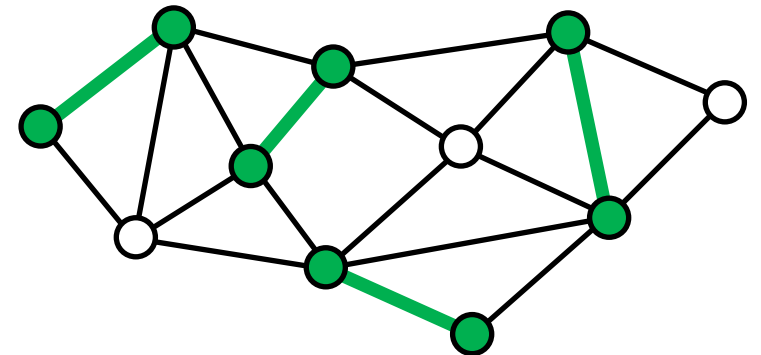
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An edge is uncovered if it does not share vertices with any previously selected edges. Let  $E'$  be the edges selected by the algorithm.

$\Rightarrow$  # vertices selected by algorithm = ALG =  $2 |E'|$



# Vertex Cover

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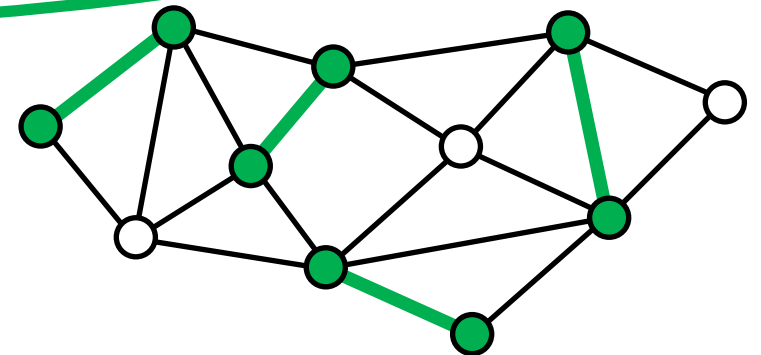
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$\Rightarrow$  # vertices selected by algorithm = ALG =  $2 |E'|$

A vertex from each edge in  $E'$  must be part of every vertex cover.

**True or False?**

Discuss with a partner



# Vertex Cover

Vertex Cover: Given graph  $G = (V, E)$ , find smallest  $V' \subseteq V$  such that each edge in  $E$  contains an end point in  $V'$ .

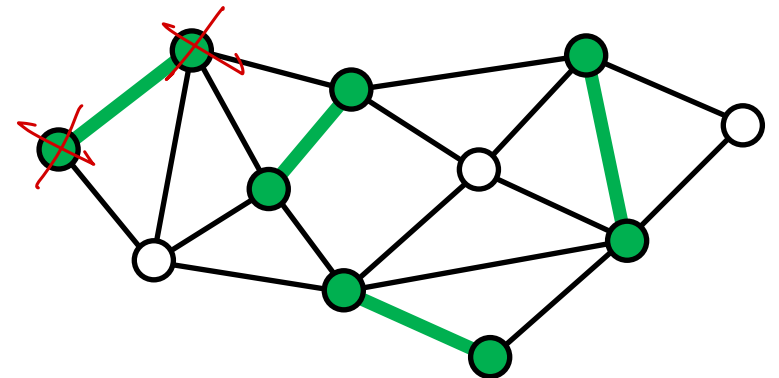
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An edge is uncovered if it does not share vertices with any previously selected edges. Let  $E'$  be the edges selected by the algorithm.

$$\Rightarrow \# \text{ vertices selected by algorithm} = \text{ALG} = 2 |E'|$$

A vertex from each edge in  $E'$  must be part of every vertex cover.

If we selected fewer than one vertex per edge, we would not have a vertex cover, because that edge would not be covered!



# Vertex Cover

Vertex Cover: Given graph  $G = (V, E)$ , find smallest  $V' \subseteq V$  such that each edge in  $E$  contains an end point in  $V'$ .

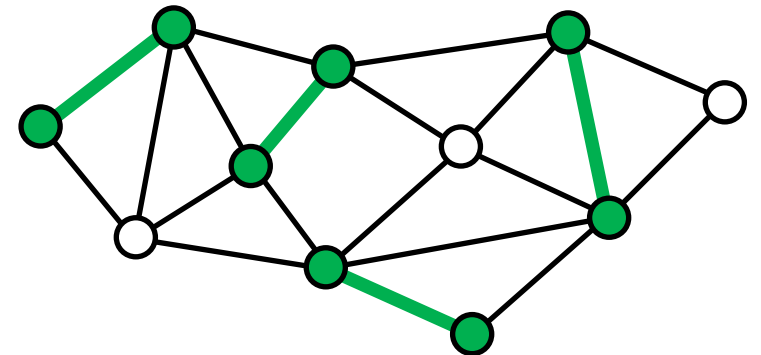
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A vertex from each edge in  $E'$  must be part of every vertex cover.

$\Rightarrow$  In relation to OPT??



# Vertex Cover

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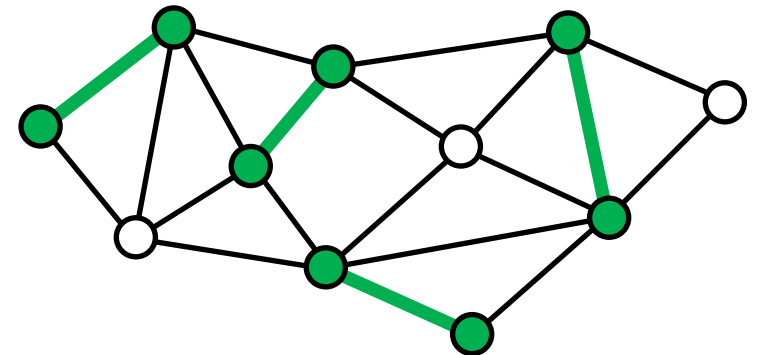
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A vertex from each edge in  $E'$  must be part of every vertex cover.

$$\Rightarrow |E'| \leq \text{OPT}$$



# Vertex Cover

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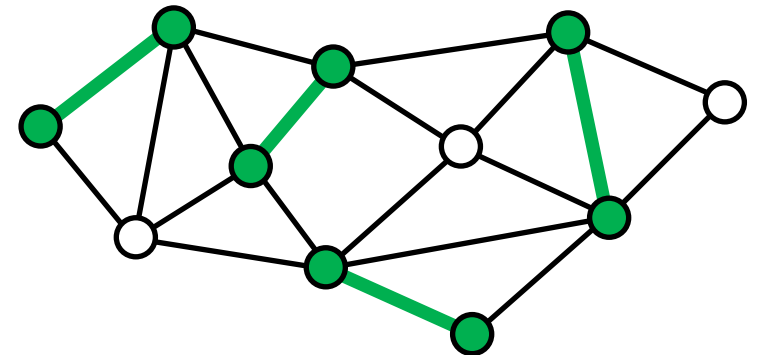
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$$\Rightarrow |E'| \leq \text{OPT}$$

...ALG  $\leq \alpha$  OPT??





# Vertex Cover

Vertex Cover: Given graph  $G = (V, E)$ , find smallest  $V' \subseteq V$  such that each edge in  $E$  contains an end point in  $V'$ .

VC 2-approximation algorithm:  
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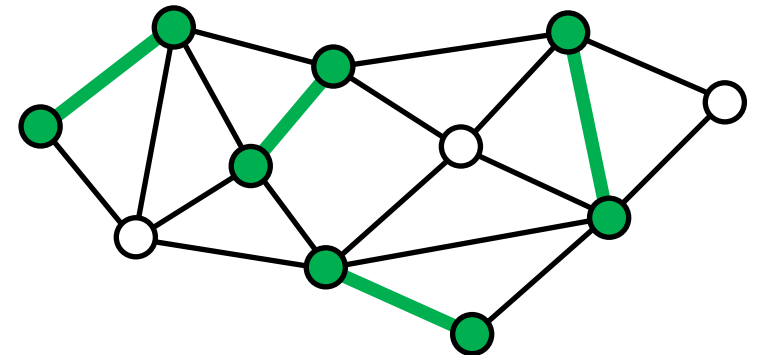
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Therefore,  $\text{ALG} = 2 |E'|$



# Vertex Cover

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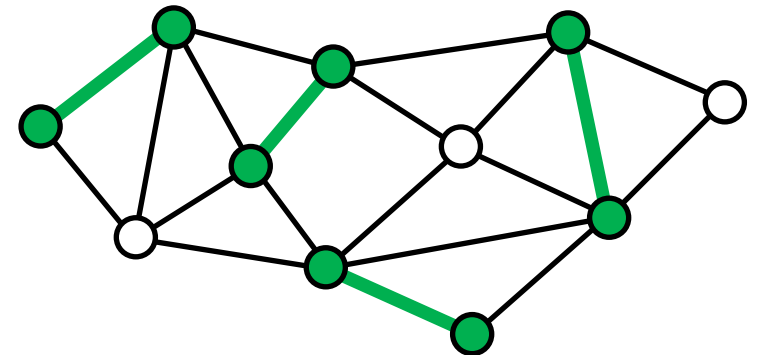
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# Vertex Cover

Vertex Cover: Given graph  $G = (V, E)$ , find smallest  $V' \subseteq V$  such that each edge in  $E$  contains an end point in  $V'$ .

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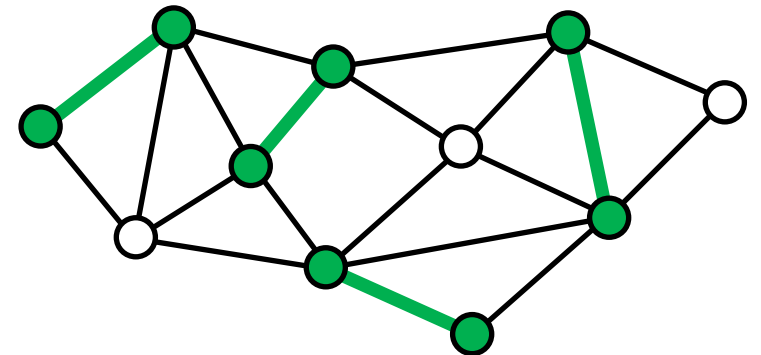
$$\Rightarrow \# \text{ vertices selected by algorithm} = \text{ALG} = 2 |E'|$$

A vertex from each edge in  $E'$  must be part of every vertex cover.

$$\Rightarrow |E'| \leq \text{OPT}$$

Therefore,  $\text{ALG} = 2 |E'| \leq 2 \text{OPT} \Rightarrow \text{ALG} \leq 2 \text{OPT}$

$$\alpha = 2$$



# Vertex Cover – Improvement

while uncovered edge exists

select both vertices from uncovered edge

$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

Is this the best this algorithm can do?

$$\text{ALG} \leq 1.5 \text{ OPT} \quad ? \quad \times$$

$$\text{ALG} = 2 \text{ OPT}$$

# Vertex Cover – Improvement

```
while uncovered edge exists  
    select both vertices from uncovered edge
```

$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

Is this the best this algorithm can do?

I.e. Can we guarantee this algorithm does better than 2 OPT?

# Vertex Cover – Improvement

```
while uncovered edge exists  
    select both vertices from uncovered edge
```

$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

Is this the best this algorithm can do?

I.e. Can we guarantee this algorithm does better than 2 OPT?

Is there a graph where this algorithm does exactly 2 OPT?

Which of these would be easier to prove?

# Vertex Cover – Improvement

```
while uncovered edge exists  
    select both vertices from uncovered edge
```


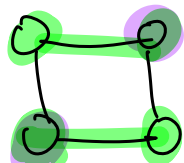
$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

Is this the best this algorithm can do?

I.e. Can we guarantee this algorithm does better than 2 OPT?

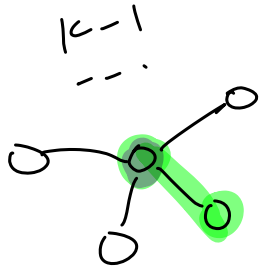
Is there a graph where this algorithm does exactly 2 OPT?

Try to find a graph where  $\text{ALG} = 2 \text{ OPT}$  for this algorithm

| $G$  | <u>ALG</u> | <u>OPT</u> | <u>2</u> |
|--|------------|------------|----------|
|    | 2          | 1          | 2        |
|    | 4          | 2          | 2        |
| <p data-bbox="43 502 303 551">Want <math>k</math> verts</p>  <p data-bbox="43 698 208 835"><math>k/2</math><br/>disjoint<br/>edges</p> | $k$        | $k/2$      | 2        |



want  $k$  vertices,  
connected  $G$



ALG

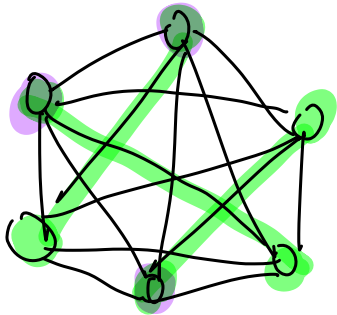
2

JPT

1

$\alpha$

2



6

3

2

# Vertex Cover – Improvement

while uncovered edge exists  
select both vertices from uncovered edge

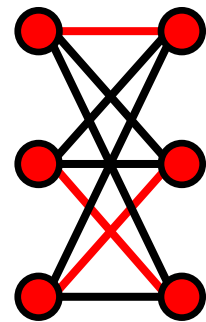
$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

Is this the best this algorithm can do?

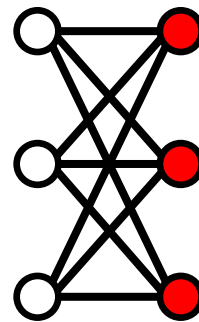
I.e. Can we guarantee this algorithm does better than 2 OPT?

Is there a graph where this algorithm does exactly 2 OPT?

Complete  
Bipartite Graph



ALG



OPT

# Vertex Cover – Improvement

while uncovered edge exists  
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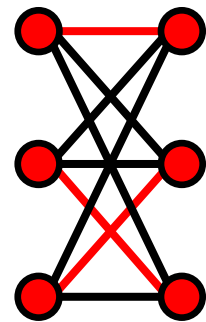
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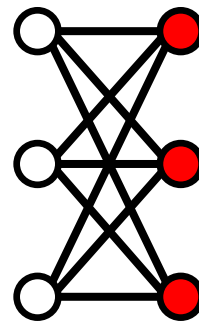
I.e. Can we guarantee this algorithm does better than 2 OPT?

Is there a graph where this algorithm does exactly 2 OPT?

Complete  
Bipartite Graph



ALG



OPT

$|\text{ALG}| = 2k$ :  $v \notin \text{ALG} \Rightarrow$  all neighbors are  
 $\Rightarrow k$  edges selected  $\Rightarrow$  all  $2k$  nodes  
selected.

$|\text{OPT}| = k$ : Fewer than  $k$  nodes selected  
 $\Rightarrow \exists$  unselected edge.

# Vertex Cover – Improvement

while uncovered edge exists  
select both vertices from uncovered edge

$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

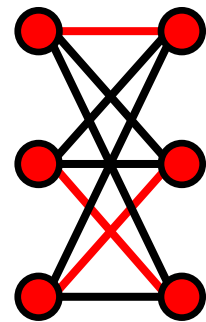
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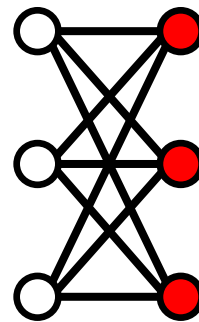
Is there a graph where this algorithm does exactly 2 OPT?

*T or F?*

Complete  
Bipartite Graph



ALG



OPT

$\therefore$  The best Vertex Cover  
can be approximated is  
within a factor of 2

# Vertex Cover – Improvement

while uncovered edge exists  
select both vertices from uncovered edge

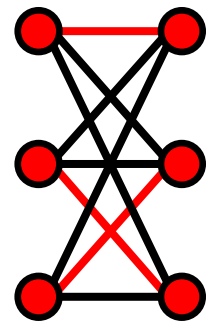
$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

Is this the best this algorithm can do?

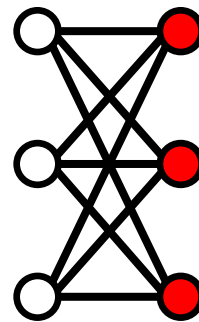
I.e. Can we guarantee this algorithm does better than 2 OPT?

Is there a graph where this algorithm does exactly 2 OPT?

Complete  
Bipartite Graph



ALG



OPT

The best Vertex Cover  
can be approximated is  
within a factor of 2

True or false?

# Vertex Cover – Improvement

while uncovered edge exists  
select both vertices from uncovered edge

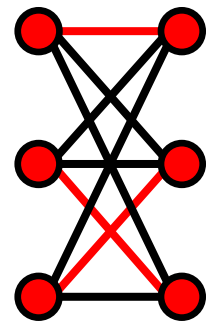
$$\Rightarrow \text{ALG} \leq 2 \text{ OPT}$$

Is this the best this algorithm can do?

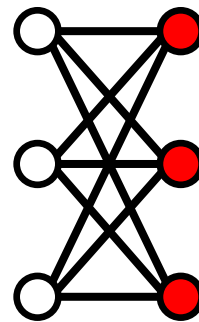
I.e. Can we guarantee this algorithm does better than 2 OPT?

Is there a graph where this algorithm does exactly 2 OPT?

Complete  
Bipartite Graph



ALG



OPT

~~$\therefore$  The best Vertex Cover  
can be approximated is  
within a factor of 2~~

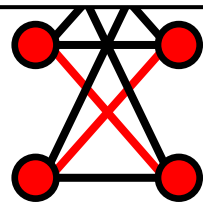
# Vertex Cover – Improvement

while uncovered edge exists  
select both vertices from uncovered edge

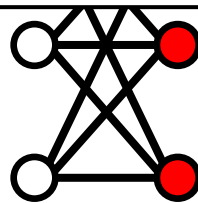
VC Inapproximability:

- Cannot be approximated within a factor of 1.3606 unless  $P=NP$ .

Complete  
Bipartite Graph



ALG



OPT

~~can be approximated is  
within a factor of 2~~

# Vertex Cover – Improvement

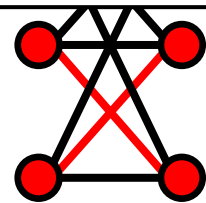
while uncovered edge exists  
select both vertices from uncovered edge

VC Inapproximability:

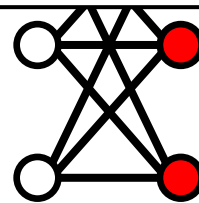
- Cannot be approximated within a factor of 1.3606 unless  $P=NP$ .

## How do you think we prove this?

Complete  
Bipartite Graph



ALG



OPT

~~can be approximated is  
within a factor of 2~~



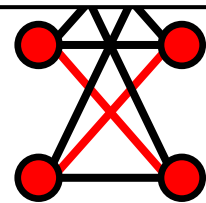
# Vertex Cover – Improvement

while uncovered edge exists  
select both vertices from uncovered edge

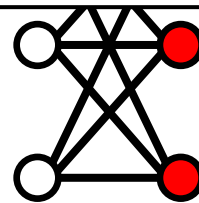
VC Inapproximability:

- Cannot be approximated within a factor of 1.3606 unless  $P=NP$ .
- Cannot be approximated within any constant factor better than 2 unless the Unique Games Conjecture is false.

Complete  
Bipartite Graph



ALG



OPT

~~can be approximated is  
within a factor of 2~~

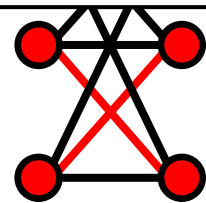
# Vertex Cover – Improvement

while uncovered edge exists  
select both vertices from uncovered edge

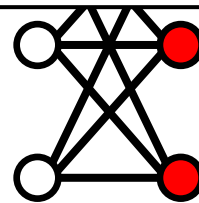
## VC Inapproximability:

- Cannot be approximated within a factor of 1.3606 unless P=NP.
- Cannot be approximated within any constant factor better than 2 unless the Unique Games Conjecture is false.
- Is approximable within  $2 - \frac{\log \log |V|}{2 \log |V|}$ .

Complete  
Bipartite Graph



ALG



OPT

~~can be approximated is  
within a factor of 2~~